

PART D

Regulations for the Award of Anglia Ruskin University's Higher Doctorates:

Doctor of Letters

Doctor of Science

Doctor of Technology

Doctor of Laws

1. STANDARD OF THE AWARD

- 1.1 Higher Doctorates are awarded for work of high academic distinction.
- 1.2 Higher Doctorates are conferred by Anglia Ruskin University in recognition of published work of high distinction which results from research at a higher level than a PhD, which is not supervised and which constitutes a substantial and sustained contribution to the field of study, characterised by its originality, and has established the candidate's authoritative standing in his/her subject, normally at international level.
- 1.3 The award of Doctor of Technology is reserved for those individuals whose contribution has been principally to developments in the application of knowledge.

2. ENTRY REQUIREMENTS

- 2.1 Applicants for a Higher Doctorate should normally be a graduate of Anglia Ruskin University or be a member of staff of Anglia Ruskin University, an Associate College or partner research active organisation, or be a Visiting Professor. Applicants should normally be holders of a PhD or equivalent, in addition to at least four years' standing of a higher degree (MA/MSc) or of a qualification equivalent to a higher degree.
- 2.2 With the specific approval of the Chair of the RDSC a candidate outside the above categories may be considered.

3. APPLICATION

- 3.1 Applicants for a Higher Doctorate should submit, in confidence, to the Secretary to Senate of Anglia Ruskin University five copies of the work on which the application is based. The submission may take the form of books, contributions to journals, patent specifications, reports and design studies and may also include other evidence of original work. The submission must be presented in English. Exceptionally, and with the approval of the RDSC, permission to submit in a language other than English shall normally only be granted if the subject matter involves language and related studies.
- 3.2 All materials other than books should be secured in one hardback folder, or more if necessary, each containing a title and contents page.
- 3.3 An applicant should state which part of the submission, if any, has been submitted for any other academic award.
- 3.4 The applicant should submit in typed form (11 point) five copies of the following:
 - (a) a statement of not more than one thousand words setting out the applicant's view of the nature and significance of the work submitted, the general title and principal themes and the contribution to knowledge;
 - (b) the award for which the submission is made;
 - (c) a statement of the extent of the applicant's contribution to any work submitted which involves joint authorship or other types of collaboration. Anglia Ruskin University reserves the right to contact the co-author should it wish to do so;
 - (d) a curriculum vitae;
 - (e) a provisional list of the publications on which the case is based.

3.5 The candidate is advised to consult the Deputy Vice Chancellor (Research and Innovation) for guidance on the standards required, and the process to be followed.

4. ASSESSMENT

4.1 The assessment of the application is undertaken as follows:

4.1.1 The Secretary to the Senate shall establish a Higher Doctorate Degrees Panel, as a Subcommittee of the RDSC, to determine whether a *prima facie* case has been established. This Panel will comprise:

- A Deputy Vice Chancellor (Chair);
- Chair (or nominee), RDSC;
- The Deputy Vice Chancellor with responsibility for research;
- A senior member of academic staff pertinent to the area in question.

The panel may seek advice from within and outside Anglia Ruskin University. The Panel is serviced by the Secretary of the RDSC

4.1.2 If the Panel is satisfied that a *prima facie* case has been established, the evidence is submitted normally to two independent examiners of appropriate standing external to Anglia Ruskin University, approved by the Higher Doctorates Panel on the advice of the Deputy Vice Chancellor with responsibility for research. Each of the examiners shall report independently with a recommendation. If there is disagreement, a third (or more) examiner may be sought.

4.1.3 The candidates shall submit to Anglia Ruskin University via the Secretary to Senate five sets of documentation which shall include:

- (a) a critical appraisal, of not normally less than 1,000 words nor more than 10,000 words, of the submitted works which identifies the field and aims of the candidate's research and the original and distinguished contribution to the advancement of knowledge in the field represented by the works;
- (b) an offprint or high quality photocopy of each of the published works which have been cited, if necessary giving proof of authenticity. The works shall be numbered and correspond exactly with the list cited in accordance with Regulation 3.4 (e) above;
- (c) where relevant, a copy of other published or unpublished works cited in accordance with the provisions of Regulation 3.4 (e) above.

4.1.4 The Panel will determine whether or not a viva voce examination is required, comprising the two external examiners and a chair appointed by the Research Committee.

4.1.5 Examiners' recommendations are considered by the Higher Doctorates Panel prior to submission to the RDSC and the Senate for ratification. Examiners may recommend the award or resubmission, in which case written guidance on the deficiencies of the first submission must be provided or recommend that the degree be not awarded.

4.1.6 In order to preserve confidentiality in the case of applicants who are Anglia Ruskin University members of staff the following confidentiality safeguards will apply:

- (a) the RDSC will be informed that a candidate from Faculty X has applied and examiners have been appointed;
- (b) if the examiners recommend the award, then the Higher Degrees Panel is given the reports and other information and asked to endorse the recommendation to the RDSC;
- (c) if the application is unsuccessful, the RDSC is informed that a candidate from Faculty X was not recommended for the award.

4.2 There is no appeal against the decision of the Senate.

4.3 Applicants may reapply after two academic years from the date of the decision of the Senate and may include the same material in the application if they so desire and are considered on their own merit afresh. The Panel shall decide whether the same examiners should continue.

4.4 A copy of the successful application shall normally be lodged in Anglia Ruskin University Library, and the candidate may request that any confidential unpublished work may remain classified for a period of two years.

5. FEES

5.1 An initial application fee is paid to cover preliminary enquiries.

5.2 An examination fee is charged to cover the assessment of the complete submission.